

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. Baumgarte 1-6-8

In re application of: Frank Baumgarte, Jiashu Chen, and Christof Faller

Serial No.: 10/045,458

Filed: 11/07/01

Matter No.: 992.1013

Group No.: 2615

Examiner: Con P. Tran

Phone No.: 571-272-7532

For: Backwards-Compatible Perceptual Coding of Spatial Cues

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof. Submission of references is not an admission that those references are in fact prior art.

Certification Under 37 CFR § 1.704(d)

- [ ] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

- [X] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Fee Under 37 CFR § 1.97(c)

[X] The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).

Petition Under 37 CFR § 1.97(d)

[ ] This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$180.00 as set forth in § 1.17(p).

Provision of Copies of References

[X] Copies of references LD and LE are enclosed herewith and forms a part hereof.

[X] English translations of the Abstracts of References LD and LE are enclosed herewith and form a part hereof.

[ ] A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

[ ] A copy of the European Examination Report from a corresponding EPO application is enclosed herewith.

[ ] A copy of the final U.S. office action for U.S. patent application no. \_\_\_\_\_ filed on \_\_\_\_\_  
\_ as attorney docket no. (Case name or our ref.).

[ ] The above-captioned U.S. patent application is a

- [ ] continuation
- [ ] divisional
- [ ] continuation-in-part

application of U.S. application serial number \_\_\_\_\_, filed on \_\_\_\_\_ as Attorney Docket No. \_\_\_\_\_. Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

EFS Credit Card Payment

[X] Electronic credit card payment will be made in the amount of \$180.00.

Authorization To Charge Deposit Account

[ ] Authorization is given to charge Mendelsohn & Associates, P.C. Deposit Account No. 50-0782 for any fee under § 1.97(c) or § 1.97(d).

[X] Authorization is given to **charge any deficiency or credit any overpayment** to Mendelsohn & Associates, P.C. Deposit Account No. 50-0782 for any fee under § 1.97(c) or § 1.97(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered

Respectfully submitted,

Date: 08-01-2007  
Customer No. 46900  
Mendelsohn & Associates, P.C.  
1500 John F. Kennedy Blvd., Suite 405  
Philadelphia, Pennsylvania 19102

/Steve Mendelsohn/  
Steve Mendelsohn  
Registration No. 35,951  
Attorney for Applicant  
(215) 557-6657

Enclosures